-EXHIBIT 3-

Pages 1 - 38 To Defendant Ameriprise's Renewed Motion to Dismiss

XimpleWare v. Versata, et al [13-cv-5160-SI]

UNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF CALIFORNIA

Before The Honorable Susan Illston, Judge

XIMPLEWARE CORP., a California )
corporation, )

Plaintiff,

VS. NO. C 13-05160 SI

VERSATA SOFTWARE, INC., f/k/a )
TRILOGY SOFTWARE, INC., a )
Delaware corporation; TRILOGY )
DEVELOPMENT GROUP, INC., a )
California corporation; )
AMERIPRISE FINANCIAL, INC., a )
Delaware corporation; and )
AMERIPRISE FINANCIAL SERVICES, )
INC., a Delaware corporation, )

Defendants.

San Francisco, California Wednesday, December 4, 2013

## TRANSCRIPT OF PROCEEDINGS

## **APPEARANCES:**

For Plaintiff:

COMPUTERLAW GROUP LLP 401 Florence Street

Palo Alto, California 94301

BY: JACK RUSSO, ATTORNEY AT LAW

ANSEL HALLIBURTON, ATTORNEY AT LAW

(APPEARANCES CONTINUED ON FOLLOWING PAGE)

REPORTED BY: Jo Ann Bryce, CSR No. 3321, RMR, CRR, FCRR

Official Reporter

| 1  | APPEARANCES: (CONTINUED)  |
|----|---|
| 2  | For Defendants Versata Software, Inc., f/k/a Trilogy Software, Inc., & Trilogy Development Group, Inc.: |
| 3  | AHMAD, ZAVITSANOS, ANAIPAKOS, ALAVI<br>& MENSING  |
| 4  | 3460 One Houston Center<br>1221 McKinney  |
| 5  | Houston, Texas 77010  BY: AMIR ALAVI, ATTORNEY AT LAW  BENJAMIN F. FOSTER, ATTORNEY AT LAW              |
| 7  | VALOREM LAW GROUP   |
| 8  | 60 South Market - Suite 1400<br>San Jose, California 95113  |
| 9  | BY: DAVID C. BOHRER, ATTORNEY AT LAW  |
| LO | For Defendants Ameriprise Financial, Inc., and Ameriprise Financial Services, Inc.:                     |
| L1 | DORSEY & WHITNEY LLP<br>1400 Wewatta Street - Suite 400   |
| L2 | Denver, Colorado 80202  BY: GREGORY S. TAMKIN, ATTORNEY AT LAW  |
| L3 |   |
| L4 |   |
| L5 |   |
| L6 |   |
| L7 |   |
| L8 |   |
| L9 |   |
| 20 |   |
| 21 |   |
| 23 |   |
| 24 |   |
| 25 |   |
|    |   |

said, "There is a loss of control from the lack of our copyright notice being on the copies that you distributed."

Counsel's admitted as much. He just says, "Well, it's object code so we don't have to do it. We don't have to use a copyright notice."

THE COURT: All right. So what do you say?

MR. ALAVI: Your Honor, I think Mr. Russo's argument is an interesting argument but it ignores the reality of how enterprise software is distributed and used by Fortune 500 companies, and that's who we're talking about. We're talking about Fortune 500 companies that received Versata Software, as well as the XimpleWare software, pursuant to a master license agreement that has restrictions on their ability to distribute the software outside their enterprise.

So the idea that a company like Ameriprise, who has a license agreement with Versata that prohibits their ability to distribute the software that they have received to anyone else, would suddenly distribute the XimpleWare software all over the world, it's not believable.

And there's certainly no evidence that any of Versata's customers, who all have agreements with Versata that restrict their ability to use and distribute the software they've received, are going to go out and distribute the XimpleWare object code to the rest of the world. Not a shred of evidence of it and it doesn't make sense given the types of customers